

Sheet 1 of 1

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1261	SERIAL NO. 10/697,211
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(Use several sheets if necessary)			
	APPLICANT James F. McGuckin, Jr., et al		
	FILING DATE October 30, 2003		GROUP Art Unit: 3761

U.S. PATENT DOCUMENT

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JB	WO 95	0 0 9 5 6 7	4/13/95					
1	WO 99	0 2 5 2 5 2	5/27/99					
	WO 01	0 4 5 5 9 0	6/28/01					
JB	WO 01	0 7 2 2 3 9	10/4/01					

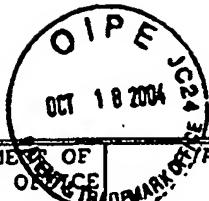
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**EXAMINER**

**DATE CONSIDERED**

9205

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Sheet 1 of 1

Form PTO-1449 U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 1261	SERIAL NO. 10/697,211					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT							
(Use several sheets if necessary)							
<table border="1"> <tr> <td colspan="2">APPLICANT James F. McGuckin, Jr., et al</td> <td rowspan="2">GROUP Art Unit: 3761</td> </tr> <tr> <td colspan="2">FILING DATE October 30, 2003</td> </tr> </table>			APPLICANT James F. McGuckin, Jr., et al		GROUP Art Unit: 3761	FILING DATE October 30, 2003	
APPLICANT James F. McGuckin, Jr., et al		GROUP Art Unit: 3761					
FILING DATE October 30, 2003							

**U. S. PATENT DOCUMENT**

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JB	WO 95	0 0 9 5 6 7	4/13/95					
JB	WO 99	0 2 5 2 5 2	5/27/99					
JB	WO 01	0 4 5 5 9 0	6/28/01					
JB	WO 01	0 7 2 2 3 9	10/4/01					

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## **EXAMINER**

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912105

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	APPLICANT James F. McGuckin, Jr., et al	
	FILING DATE October 30, 2003	GROUP Art Unit: 3761

U. S. PATENT DOCUMENT

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
7085	WO 95	0 9 5 6 7	4/13/95					
1	WO 99	2 5 2 5 2	5/27/99					
1	WO 01	4 5 5 9 0	6/28/01					
7085	WO 01	7 2 2 3 9	10/4/01					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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9|2|05

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	APPLICANT James G. McGuckin, Jr., et al
	FILING DATE October 30, 2003

U.S. PATENT DOCUMENT

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JB	WO 01	6 2 1 8 4	8/30/01					
JB	WO 03	0 6 3 7 3 2	8/07/03					
JB	WO 04	0 4 9 9 7 3	6/17/04					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Jordan R. Baxter</i>	DATE CONSIDERED <i>9/26/05</i>
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Form PTO-1449 U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.  O I P E 261 APR 04 2003 PATENT & TRADEMARK OFFICE SC	SERIAL NO.  10/697,211
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
(Use several sheets if necessary)		
	APPLICANT James F. McGuckin, Et al	
	FILING DATE October 30, 2003	GROUP Art Unit: 3761

**U.S. PATENT DOCUMENT**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JMB	6 7 8 3 5 3 8	8/31/04	McGuckin, et al	1	1	
JMB	6 7 9 3 6 6 5	9/21/04	McGuckin, et al	1	1	

**FOREIGN PATENT DOCUMENTS**

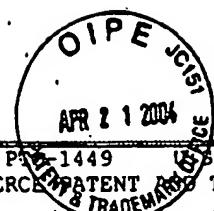
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	1261	10/697,211
	APPLICANT James F. McGuckin, Jr., et al	
	FILING DATE October 30, 2003	GROUP Art Unit:

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JB	3 9 5 2 7 4 7	4/21/76	Kimmel, Jr.			
	4 4 2 5 9 0 8	1/17/84	Simon			
	4 4 9 4 5 3 1	1/22/85	Gianturco			
	4 5 1 2 3 3 8	4/23/85	Balko, et al			
	4 6 1 9 2 4 6	10/28/86	Molgaard-Nielsen, et al			
	4 6 8 8 5 5 3	8/25/87	Metals			
	4 7 2 7 8 7 3	3/1/88	Mobin-Uddin			
	4 7 8 1 1 7 7	11/1/88	Lebigot			
	4 7 9 3 3 4 8	12/27/88	Palmaz			
	4 8 1 7 6 0 0	4/4/89	Herms, et al			
JB	4 8 3 2 0 5 5	5/23/89	Palestrant			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
JB	0 2 1 1 8 1 2	2/14/02	PCT				
JB	WO 9 3 1 2 7 2 3	7/1993	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JB	B. Braun Medical, Inc. Vena Tech™ Vena Cava Filters, 2/00.
JB	Gianturco-Roehm, Bird's Nest® Vena Cava Filter
JB	Cordis Corporation, TrapEase™ Permanent Vena Cava Filter, "A Small, Easy and Verstaile System for Optimal Pulmonary Emboli Prevention", 2000 (4 pages)

EXAMINER	DATE CONSIDERED
John R. Butte	9/2/05

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		FILING DATE October 30, 2003	

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gab	4 9 5 7 5 0 1	9/18/90	Lahille, et al			
	4 9 9 0 1 5 6	2/05/91	Lefebvre			
	4 9 9 4 0 6 9	2/19/91	Ritchart, et al			
	5 0 5 9 2 0 5	10/22/91	El-Nounou, et al			
	5 1 3 3 7 3 3	7/28/92	Rasmussen, et al			
	5 1 5 2 7 7 7	10/6/92	Goldberg, et al			
	5 2 3 4 4 5 8	8/10/93	Metals			
	5 3 0 0 0 8 6	4/5/94	Gory, et al			
	5 3 2 4 3 0 4	6/28/94	Rasmussen			
	5 3 4 4 4 2 7	9/06/94	Cottenceau, et al			
gab	5 3 5 0 3 9 8	9/27/94	Pavcnik, et al			

## FOREIGN PATENT DOCUMENTS

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						YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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<i>Joseph R. Butler</i>	9/21/05

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EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JR/B</i>	5 3 7 0 6 5 7	12/06/94	Irie			
	5 3 7 5 6 1 2	12/27/94	Cottenceau, et al			
	5 3 8 2 2 6 1	1/17/95	Palmaz			
	5 3 8 3 8 8 7	1/24/95	Nadal			
	5 4 0 5 3 7 7	4/11/95	Cragg			
	5 5 3 1 7 8 8	7/2/96	Dibie, et al			
	5 5 9 1 1 9 7	1/07/97	Orth, et al			
	5 6 0 1 5 9 5	2/11/97	Smith			
	5 6 2 6 6 0 5	5/6/97	Irie, et al			
	5 6 3 4 9 4 2	6/03/97	Chevillon, et al			
<i>JR/B</i>	5 6 8 1 3 4 7	10/28/97	Catheart, et al			

## FOREIGN PATENT DOCUMENTS

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						YES NO

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DATE CONSIDERED

*Jessie R. Baxter* 9/2/05

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(Use several sheets if necessary)			

	<p><b>APPLICANT</b>            James F. McGuckin, Jr., et al</p>	
	<p><b>FILING DATE</b>            October 30, 2003</p>	<p><b>GROUP</b> Art Unit:</p>

**U. S. PATENT DOCUMENT**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5 6 8 3 4 1 1	11/4/97	Kavteladze, et al			
		5 6 9 0 6 7 1	11/25/97	McGurk, et al			
		5 7 0 9 7 0 4	1/20/98	Nott, et al			
		5 7 2 5 5 5 2	3/10/98	Kotula, et al			
		5 7 3 3 2 9 4	3/1998	Forber, et al			
		5 7 3 3 3 2 9	3/31/98	Wallace, et al			
		5 7 4 6 7 6 7	5/05/98	Smith			
		5 7 5 5 7 7 9	5/26/98	Horiguchi			
		5 7 5 5 7 9 0	5/26/98	Chevillon, et al			
		5 7 7 6 1 6 2	7/7/98	Kleshinski			
		5 7 9 5 3 2 2	8/18/98	Boudewijn			

**FOREIGN PATENT DOCUMENTS**

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Yvonne C. Butcher</i>	DATE CONSIDERED 9/2/05
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U.S. PATENT DOCUMENT							
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
jmb		5 8 1 0 8 7 4	9/22/98	Lefebvre			
		5 8 3 6 9 6 8	11/17/98	Simon, et al			
		5 8 5 3 4 2 0	12/29/98	Chevillon, et al			
		5 8 9 3 8 6 9	4/13/99	Barnhart, et al			
		5 8 9 5 3 9 8	4/20/99	Wensel, et al			
		5 8 9 5 4 1 0	4/20/99	Forber, et al			
		5 9 1 1 7 1 7	6/15/99	Jacobsen, et al			
		5 9 6 8 0 7 1	10/19/99	Chevillon, et al			
		5 9 7 6 1 7 2	11/02/99	Homsma, et al			
		5 9 8 4 9 4 7	11/16/99	Smith			
jmb	6 0 0 7 5 5 8	12/28/99	Ravenscroft, et al				
	FOREIGN PATENT DOCUMENTS						

U. S. PATENT DOCUMENT

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mlb		5 8 1 0 8 7 4	9/22/98	Lefebvre			
		5 8 3 6 9 6 8	11/17/98	Simon, et al			
		5 8 5 3 4 2 0	12/29/98	Chevillon, et al			
		5 8 9 3 8 6 9	4/13/99	Barnhart, et al			
		5 8 9 5 3 9 8	4/20/99	Wensel, et al			
		5 8 9 5 4 1 0	4/20/99	Forber, et al			
		5 9 1 1 7 1 7	6/15/99	Jacobsen, et al			
		5 9 6 8 0 7 1	10/19/99	Chevillon, et al			
		5 9 7 6 1 7 2	11/02/99	Homsma, et al			
		5 9 8 4 9 4 7	11/16/99	Smith			
gab		6 0 0 7 5 5 8	12/28/99	Ravenscroft, et al			

**FOREIGN PATENT DOCUMENTS**

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92105

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## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gab	6 0 1 3 0 9 3	1/11/00	Nott, et al			
	6 0 4 2 5 9 8	3/28/00	Tsugita, et al			
	6 0 5 9 8 2 5	5/09/00	Hobbs, et al			
	6 0 6 3 1 1 3	5/16/00	Kavteladze, et al			
	6 0 6 6 1 5 8	5/23/00	Engelson, et al			
	6 0 8 0 1 7 8	6/27/00	Meglin			
	6 0 9 3 1 9 9	7/25/00	Brown, et al			
	6 0 9 6 0 5 2	8/01/00	Callister, et al			
	6 0 9 9 5 4 9	8/08/00	Bosma, et al			
	6 1 1 7 1 5 4	9/12/00	Barbut, et al			
gab	6 1 2 3 7 1 5	9/2000	Amplatz, Curtis			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO

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EXAMINER <i>James E. Baker</i>	DATE CONSIDERED <i>9/2/05</i>
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EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Jas</i>	6 1 2 6 6 7 3	10/03/00	Kim, et al			
	6 1 4 6 6 0 4	11/14/00	Kim, et al			
	6 1 5 2 9 4 6	11/28/00	Broome, et al			
	6 1 6 5 1 7 9	12/26/00	Cathcart, et al			
	6 1 6 5 1 9 8	12/26/00	McGurk, et al			
	6 1 6 8 5 7 9	01/02/01	Tsugita			
	6 1 6 8 6 0 3	01/02/01	Leslie, et al			
	6 1 7 1 3 2 7	01/09/01	Daniel, et al			
	6 1 7 1 3 2 8	01/09/01	Addis			
	6 1 7 9 8 5 1	01/30/01	Barbut, et al			
<i>Jas</i>	6 1 7 9 8 5 9	01/30/01	Bates, et al			

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## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MJS</i>	6 1 8 7 0 2 5	02/13/01	Macheck			
	6 1 9 3 7 3 9	02/27/01	Chevillon, et al			
	6 2 1 4 0 2 5	04/10/01	Thistle, et al			
	6 2 1 7 6 0 0	4/17/01	DiMatteo			
	6 2 3 1 5 8 1	05/15/01	Shank, et al			
	6 2 3 1 5 8 9	05/15/01	Wessman, et al			
	6 2 3 5 0 4 4	5/22/01	Root, et al			
	6 2 3 5 0 4 5	5/02/01	Barbut, et al			
	6 2 4 1 7 4 6	06/05/01	Bosma, et al			
	6 2 4 5 0 1 2	6/12/01	Kleshinski			
<i>JUB</i>	6 2 5 1 1 2 2	6/26/01	Tsukernik	1k		

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EXAMINER *James E. Butler* DATE CONSIDERED *9/2/05*

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<i>MB</i>	6 2 5 8 0 2 6	07/10/01	Ravenscroft, et al			
	6 2 6 7 7 7 6	07/31/01	O'Connell			
	6 2 7 3 9 0 1	08/14/01	Whitcher, et al			
	6 2 8 0 4 5 1	08/28/01	Bates, et al			
	6 2 8 0 4 5 7	08/28/01	Wallace, et al			
	6 2 9 0 7 2 1	09/18/01	Heath			
	6 3 3 1 1 8 4	12/18/01	Abrams			
	6 3 4 2 0 6 2	01/29/02	Suon, et al			
	6 3 4 2 0 6 3	01/29/02	Devries, et al			
	6 3 4 4 0 4 1	02/05/02	Kupiecki, et al			
<i>MB</i>	6 4 0 2 7 7 1	06/11/02	Palmer, et al			

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						YES NO

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<i>John R. Baxter</i>	9/2/05

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JB	6 4 3 6 1 2 1	08/20/02	Blom			
	6 4 4 3 9 7 1	9/03/02	Boylan, et al			
	6 4 4 3 9 7 2	9/03/02	Bosma, et al			
	6 4 4 7 5 3 0	9/10/02	Ostrovsky, et al			
	6 4 4 7 5 3 1	9/02	Amplatz, Curtis			
	6 4 5 8 1 3 9	10/01/02	Palmer, et al			
	6 4 6 8 2 9 0	10/22/02	Weldon, et al			
	6 4 8 2 2 2 2	11/19/02	Bruckheimer, et al			
	6 5 0 6 2 0 5	01/2003	Goldberg, et al			
JB	6 5 1 7 5 5 9	02/11/03	O'Connell			
	6 5 2 7 9 6 2	03/04/03	Nadal			

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EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 5 3 7 2 9 4	03/25/03	Boyle, et al			
	6 5 4 0 7 6 7	04/01/03	Walak, et al			
	6 5 5 1 3 4 2	04/22/03	Shen, et al			
	6 6 5 2 5 5 8	11/25/03	Patel, et al			
	6 5 6 2 0 5 8	05/13/03	Sequin, et al			
	6 6 6 0 0 2 1	12/09/03	Palmer, et al			
	6 6 9 5 8 7 8	02/24/04	McGuckin, et al			
2002	0 1 1 6 0 2 4	08/22/02	Goldberg, et al			
2002	0 1 9 3 8 2 7	12/19/02	McGuckin, et al			
2003	0 2 0 8 2 2 7	11/06/03	Thomas			
2003	0 2 0 8 2 5 3	11/06/03	Beyer, et al			

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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<p>Form PTO-1449 U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p>		<p>ATTY. DOCKET NO.</p> <p>1261</p>	<p>SERIAL NO.</p> <p>10/697,211</p>
		<p>APPLICANT James F. McGuckin, Jr., et al</p>	
		<p>FILING DATE October 30, 2003</p>	<p>GROUP Art Unit: 3761</p>

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